

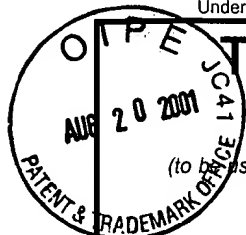
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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/846,117

Filing Date Apr 30, 2001

First Named Inventor Lownbrough

Group Art Unit Unassigned

Examiner Name Unassigned

Total Number of Pages in This Submission 77

Attorney Docket Number 011.0201.01

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement Transmittal and Form 1449

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Copies of 7 prior art references Postcard

Remarks

The Commissioner is hereby authorized to charge any additional fees and credit any overpayments regarding this communication to Deposit Account 50-1144.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Law Offices of Patrick J.S. Inouye



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PATENT TRADEMARK OFFICE

Signature

Patrick J.S. Inouye

Date

August 15, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 8/15/01

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Casey Leichter

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Date

August 15, 2001

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#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lownsbrough et al.

Serial No.: 09/846,117

Filed: 4/30/2001

Title: System and Method for Efficiently Forwarding Client Requests From a Proxy Server in a TCP/IP Computing Environment

Attorney Docket No.: 011.0201.01

Group Art Unit: Unassigned

Examiner: Unassigned

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Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
- (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
- (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

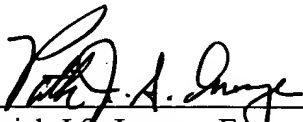
☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

The Law Offices of Patrick J.S. Inouye
810 Third Avenue, Suite 258
Seattle, WA 98104



Patrick J.S. Inouye, Esq.
Attorney/Agent for Applicant(s)
Reg. No. 40297



Date: August 15, 2001

Telephone No.: (206) 381-3900

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/846,117
Filing Date	4/30/2001
First Named Inventor	Lownsborough
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	011.0201.01

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		INKTOMI, www.inktomi.com/products/cns , Inktomi Content Networking Platform, 1996-2001, Foster City, California.	
		CACHEFLOW, www.cacheflow.com/technology/whitepapers/proxy.cfm , Cacheflow Internet Caching Appliances: Next Generation Proxy Server Solution," 2001, Sunnyvale, California.	
		NETWORK APPLIANCES, www.netapp.com/products/netcache/netcache_family.html , "NetCache Appliances Product Family," 2001, Sunnyvale, California.	
		NETSCALER, www.netscaler.com/overview.html , "Netscaler 3000 Series," April 2001, Santa Clara, California	
		D. WESSELS ET AL., "Internet Cache Protocol (ICP) version 2," RFC 2186 (Sept 1997)	
		D. WESSELS ET AL., "Application of Internet Cache Protocol (ICP), version 2," RFC 2186 (Sept 1997)	
		A. FELDMANN ET AL., "Performance of Web Proxy Caching in Heterogenous Bandwidth Environments," Proc. of the IEEE, INFOCOM '99 Conference (1999)	

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SignatureDate
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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